

For non-NPOs interested in becoming IPSOs

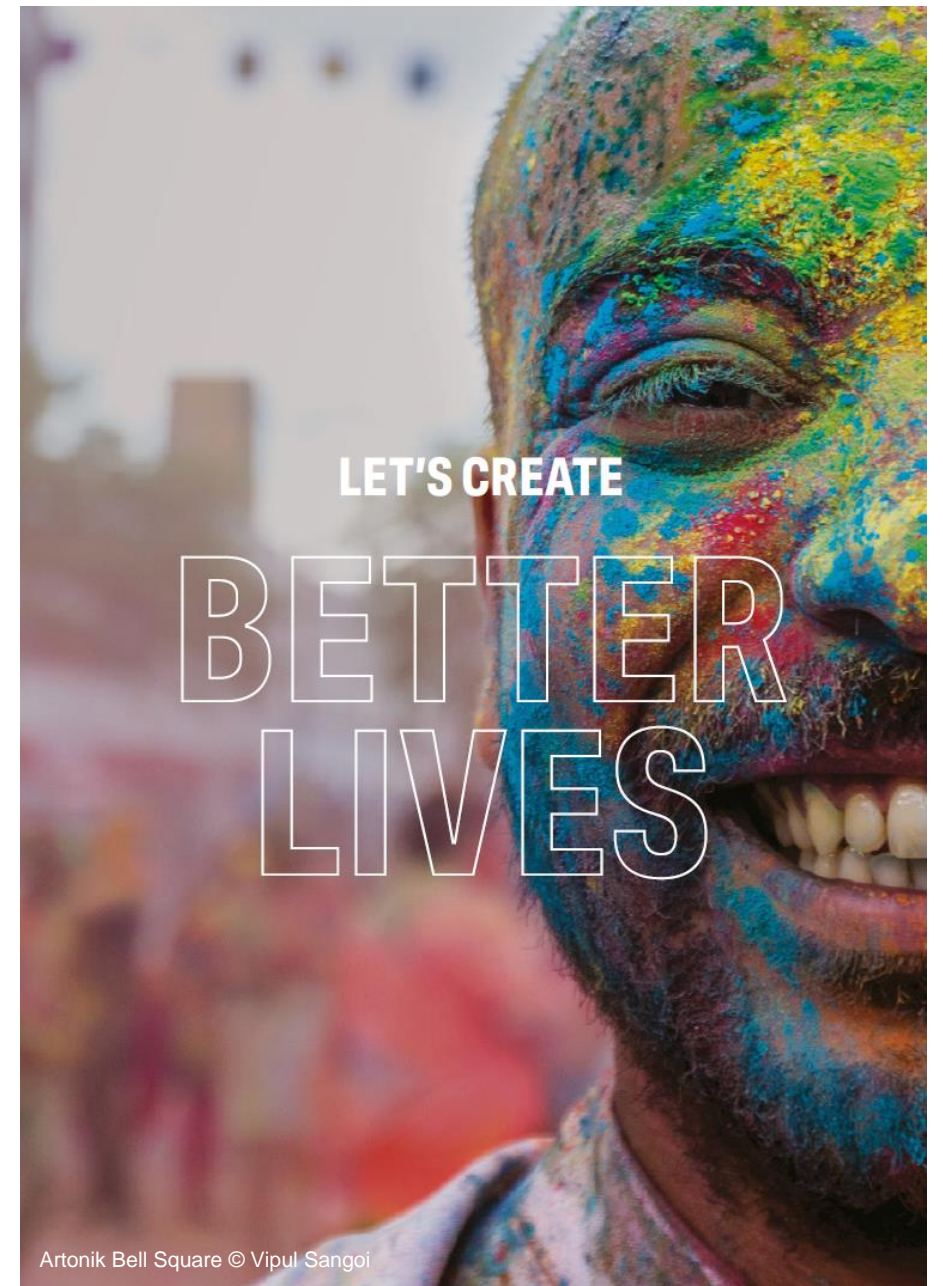
WE'RE STARTING SOON...

We recommend using the Desktop version of Zoom.

This session will be supported by live captions and BSL interpretation.

This session will be recorded and available to watch online afterwards. We won't ask you to turn on your camera or microphone at any time.

You can submit questions using the Q&A box.



Who is here today

BSL interpretation:

Rosie Copeland
Naomi Bearne

Live captions:

Marea O'Brien

Presenters and panel:

Michelle Dickson, Director, Strategy
Alex Middleton, Senior Manager, Funded Organisations
Amy Vaughan, Director, Change Programmes

Q&A host:

Dan Smith, Head of Marketing & Internal Communication

What we'll cover today

Overview

Documents & timeline

Making an application

Before you start

Application amounts

Application form & questions

Addendum to the Guidance for Applicants

What comes next

Balancing and decision-making

The Relationship Framework including monitoring, reporting and interventions

10 minute break, followed by Q&A

Before you go...

Reminder of next steps and support available



Emergency Exit Arts - Thamesmead 50th Birthday © Emergency Exit Arts

Overview: Timeline

January: Guidance for Applicants published

February: Relationship Framework, Planning Figures, Addendum to Guidance

March: digital briefings until 17 March

12pm (midday) Monday 28 February: Application portal opened

12pm (midday) Wednesday 18 May: Application portal closes

October: Decisions communicated

Overview: Documents and information

Essential documents

- Guidance for Applicants (NPO or IPSO)
- Addendum to Guidance for Applicants (same for both NPO and IPSO applications)
- Templates:
 - Financial information (both NPO and IPSO)
 - Investment Principles information (both NPO and IPSO)
 - Activity in support of Outcomes (NPO only)
 - Activity in support of helping others embed Investment Principles (IPSO only)

Supporting information

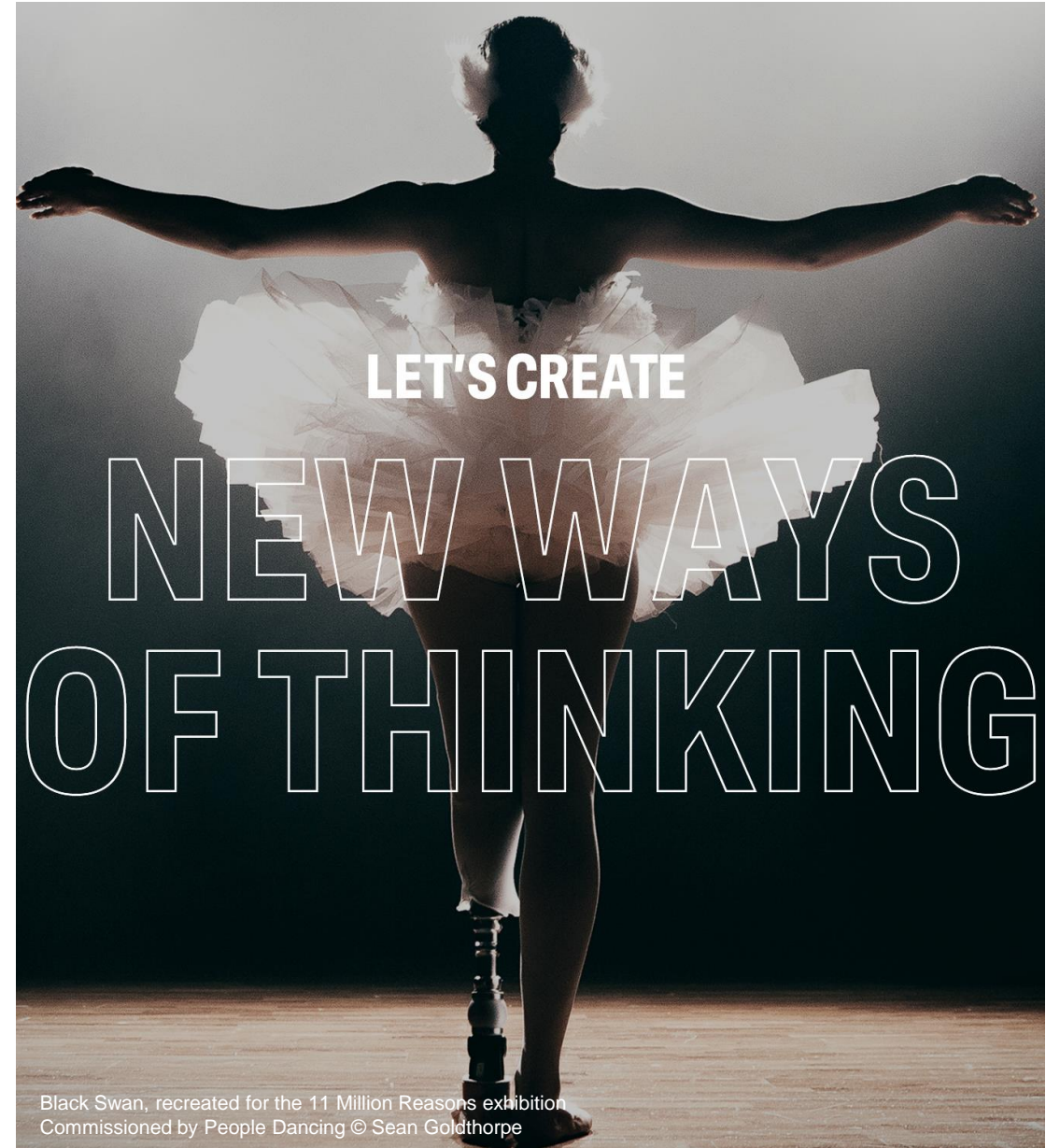
- Example templates, how-to guides and walkthrough videos
- Information sheets – Access support, Equality and fair pay, Supporting practitioners, Good governance
- Offline application templates in Word docs
- FAQs

Background reading

- Let's Create, Delivery Plan, Investment Principles information

Applying: Before you start

- Check you are eligible (eligibility is listed in the Guidance for Applicants)
- Book an Introductory conversation (mandatory for new applicants, optional for current NPOs)
- Create a Grantium profile (or make sure your existing one is up to date)
- Make sure you've done some background reading, so you know what we're aiming to achieve with this Investment Programme



Applying: Amount to apply for

- Discuss application amount at Introductory conversation
- We'll ask you questions, and provide information about levels of funding organisations similar to yours have had
- Note: this conversation is specific to your organisation, but we can't provide general formulas to calculate amounts
- No decision needs to be made in this conversation – you can agree this within your organisation before applying

Applying: The application form

- The application form is hosted on Grantium, our online portal.
- We can provide access support, but applications must be made on this platform.
- Information including contact details and governance document will be added to the form from your applicant profile.
- You will need to complete some parts of the application on excel templates (available on our website now), and uploaded to Grantium as part of your application.
- We will also use our existing knowledge of your organisation – whether current NPOs, or recipients of investment from other programmes. This will help inform us about your track record.
- Governance and leadership profile: this is important information that we need for our balancing process. Please make sure this is added completely.

Applying: Background questions

These questions relate to your organisation as a whole:

Your mission and purpose:

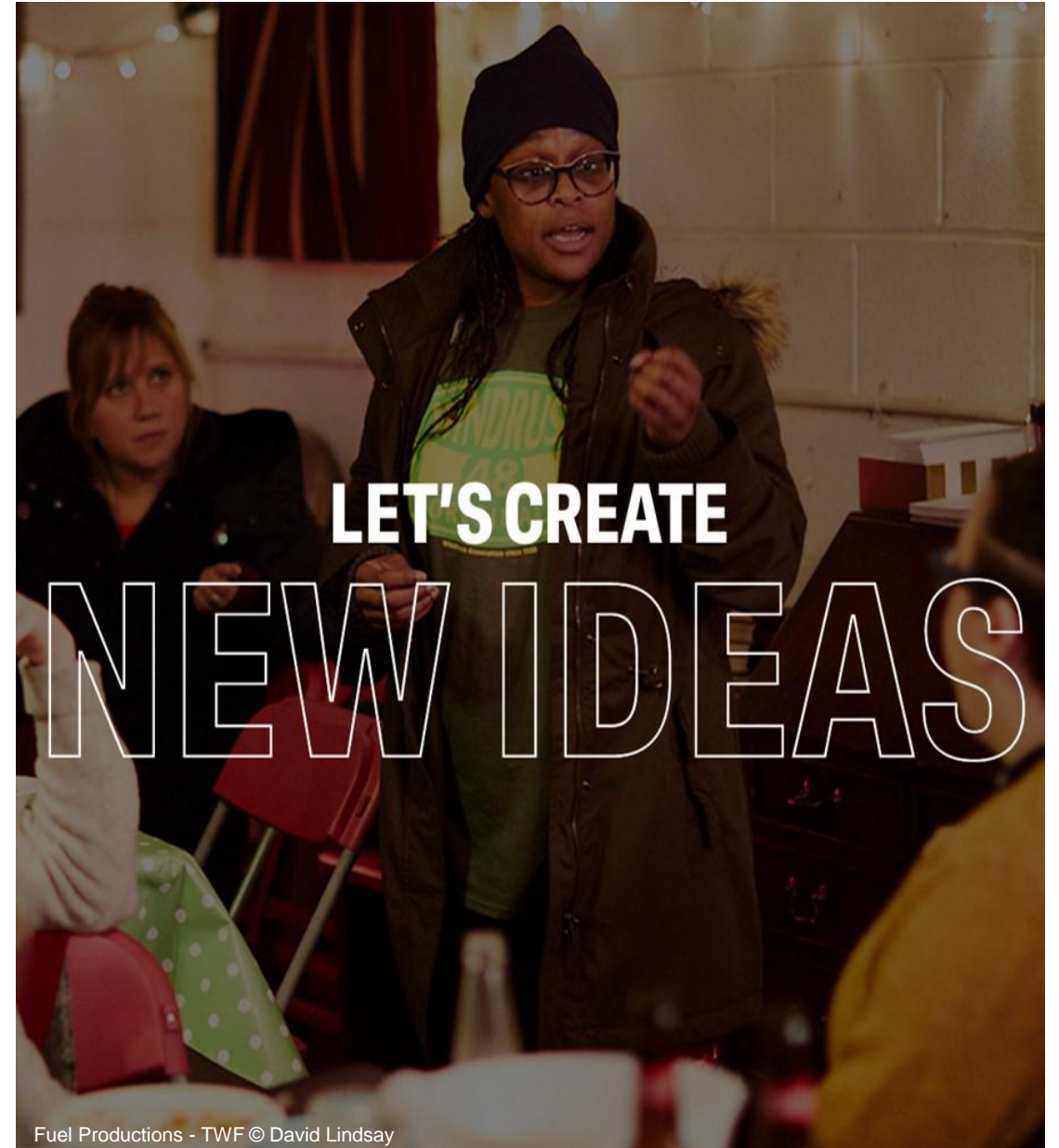
Where does creativity and culture fit here?

Your track record in access and excellence:

How can you help us continue to improve access to creative and cultural experiences?

What can you tell us about the quality of your work?

Think about this alongside our strategy, Let's Create.



Fuel Productions - TWF © David Lindsay

Applying: Background questions cont'd

Management and governance:

Tell us about your board or oversight group, and what role it will take in overseeing the delivery of our funding agreement and helping embed Investment Principles

How will you put appropriate governance or oversight arrangements in place if you don't already have a board.

If your board or oversight structure includes Executive Officers, let us know about Conflict of Interest policies – and independent members of the board are a clear majority.

The board or oversight group must be in place by 1 April 2023

Applying: Investment Principles support

Investment Principles: Ambition & Quality | Dynamism | Environmental Responsibility | Inclusivity & Relevance

Tell us what you will focus on: draw on your experience and expertise. We welcome applications from organisations just focusing on one IP. We want to see sustainable, feasible applications, taking into account budget and cost pressures. And tell us about how you'll do it too: access provision, freelancer support, training...

Tell us what percentage of your activity estimate will contribute to each of the IPs selected: we know this will be rough, but it will help us build up a picture around the support for each of the Investment Principles across applications.

Tell us your plan for the three-year period: this is a narrative response, we know it can't be definitive right now.

Complete the Activity plan: the first of **three templates** we'll ask you to submit. This will give us more detail about your plans in the first funding year – including where you intend to do your work. This template will help form the basis of the funding agreement for IPSOs. This will be central to our monitoring relationship.

Remember: this is all about assessing the strength of your contribution to support the sector to embed the Investment Principles. Keep focused on that.

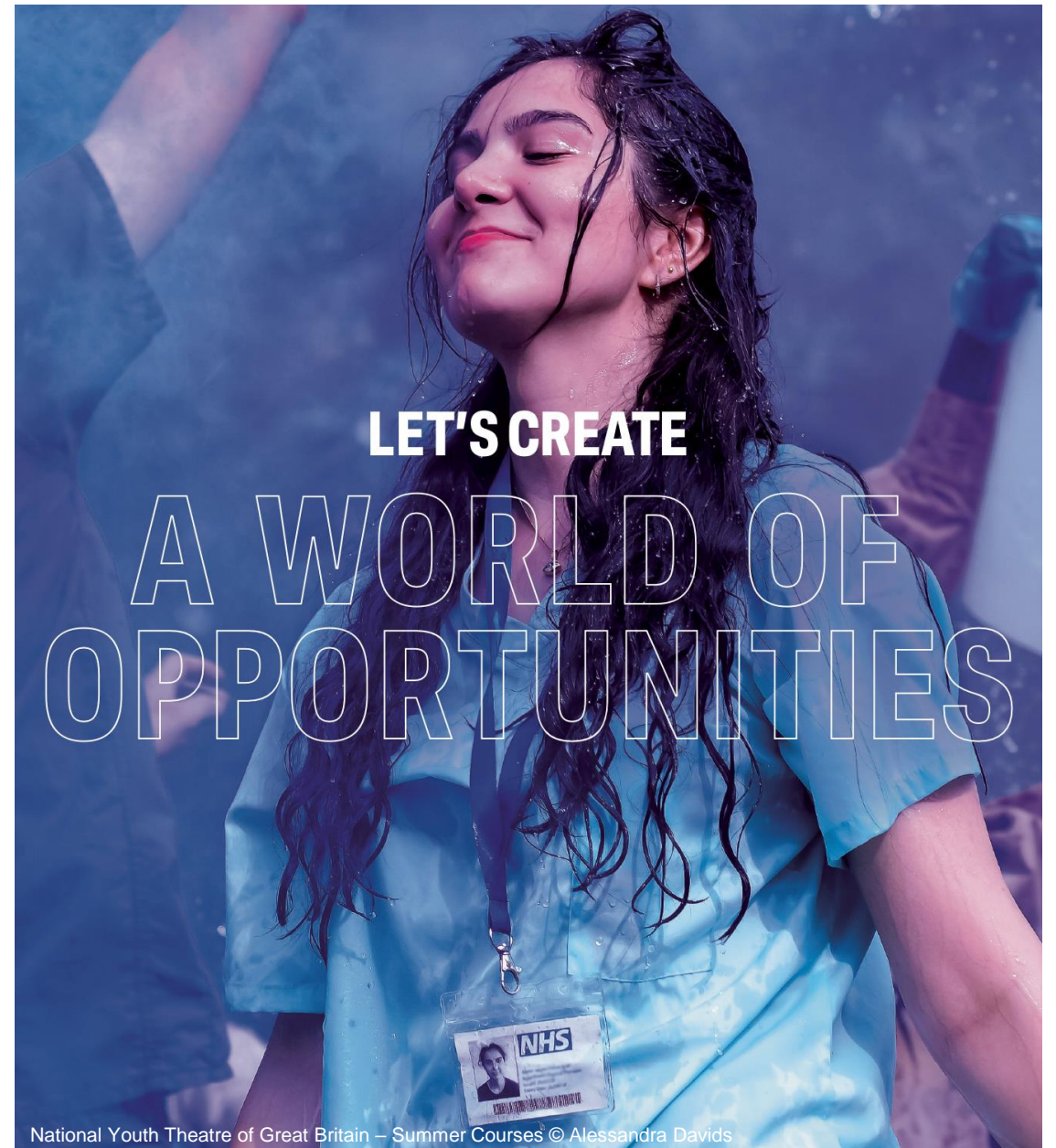
Applying: Embedding our Investment Principles

Use the second template - the **Investment Principles plan** – to give us information on this

Tell us your three-year ambition for each of the four Investment Principles, and the actions you plan to take in the first year of funding.

What we're looking for:

- What's the journey you're on?
- What process will your organisation go through?
- How you will monitor and measure success?
- How will you use data to track progress?



National Youth Theatre of Great Britain – Summer Courses © Alessandra Davids

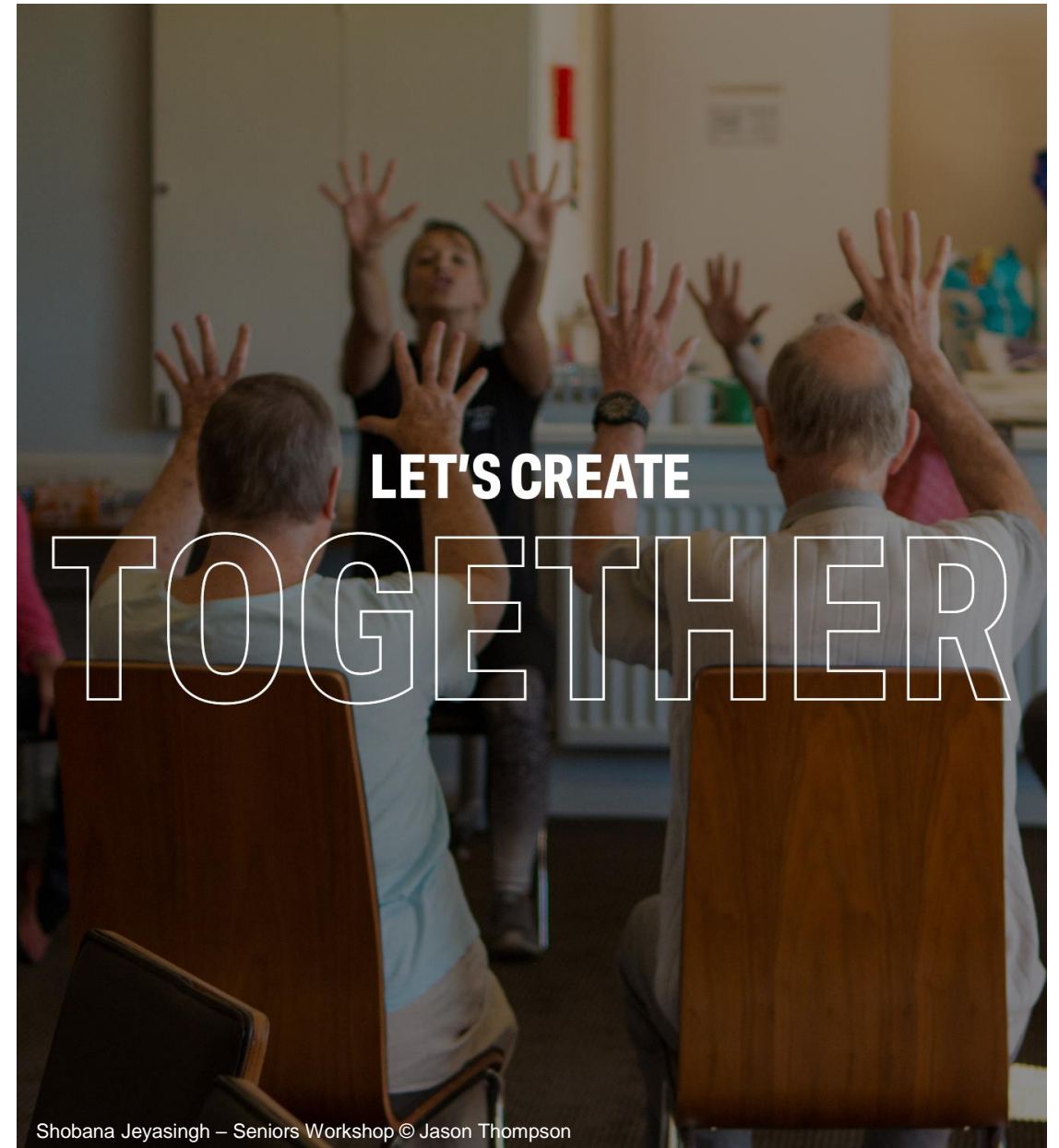
Applying: Financial template

Upload your most recent financial statements, and complete the third and final template – **Financial template**. The template has three parts:

- Balance sheet
- Annual budget for each funding year
- Cashflow forecast for first funding year

To note:

- Information should be about your whole organisation
- We need you to use the template, so we can consistently and fairly assess applications
- Think about access costs in the budget

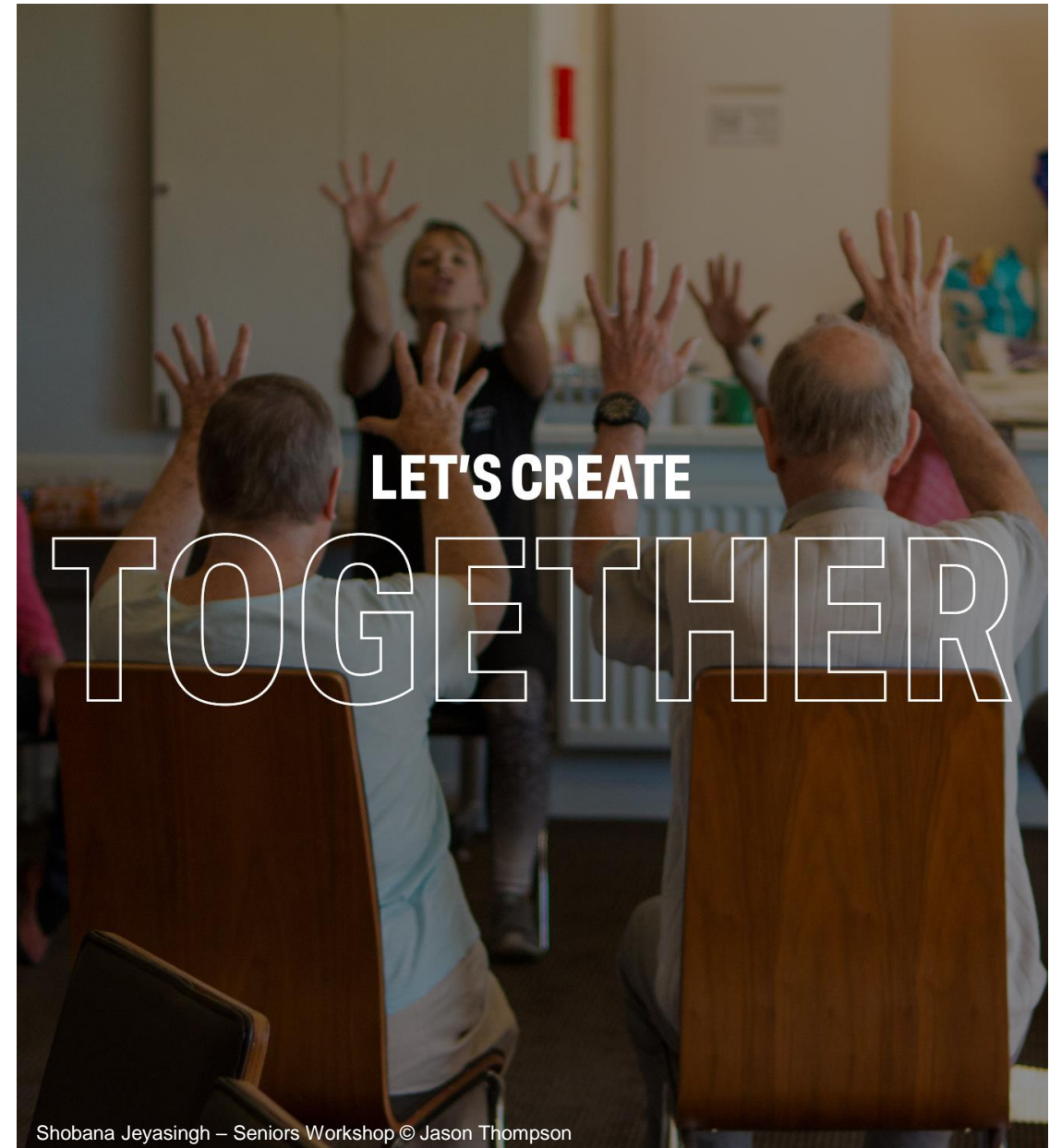


Shobana Jeyasingh – Seniors Workshop © Jason Thompson

Applying: Addendum

Addendum to the Guidance for Applicants was published on 23 February 2022. It's mandatory reading for all applicants, and it responds to Government's Levelling Up agenda.

- We know London-based organisations will find this st difficult. We will make decisions carefully and fairly, based on the applications we receive.
- We know many London-based organisations deliver activity outside of London – we will consider this when we make our decisions.
- We want to be clear: the Addendum does not change any organisation's eligibility to apply.



Shobana Jeyasingh – Seniors Workshop © Jason Thompson

Applying: Addendum – Levelling Up for Culture Places

109 places identified outside of London. We'll prioritise additional investment in these places by:

- Increasing our investment in existing NPOs in Levelling Up for Culture Places
- Investing in new NPOs and IPSOs in Levelling Up for Culture Places
- Investing in new activity in Levelling Up for Culture Places, delivered by organisations outside those Places but working in partnership with those Places

Based in a Levelling Up for Culture Place? We'll take this into account.

Based outside of a Levelling Up for Culture Place? If you're planning to work in one or more of the Levelling Up for Culture Places, then include this in your Activity plan for 2023/24. Indicate where, and tell us how the activity has been planned in partnership with those Places.

Applying for more than £2 million per year? Collectively, these organisations need to increase their activity in these places by 15% by the end of the third year of this programme (2025/26). This can include talks, workshops, education programmes, tours, co-productions, mentoring. A baseline will be established for tracking.

Applying: Addendum – Redistributing money from London

We will remove funding from some current NPOs, reduce funding to others, and explore relocations out of London with organisations who express an interest in this through the application.

Relocations:

We aim to move £8 million of annual investment outside of London by the third year of this programme through relocations.

By this we mean London based organisations moving their registered and main office outside of London by 1 April 2025.

For now, all London-based applicants need to do is answer ‘yes’ or ‘no’, in the application form, as to whether they are willing to relocate outside of London by 1 April 2025.

We know this isn’t right for everyone – but it may be something you are willing to explore.

For any organisation that responds ‘yes’ in the application, we’ll contact you with more information about what it means in the summer, so you can then clarify your answer before our final decisions are made.

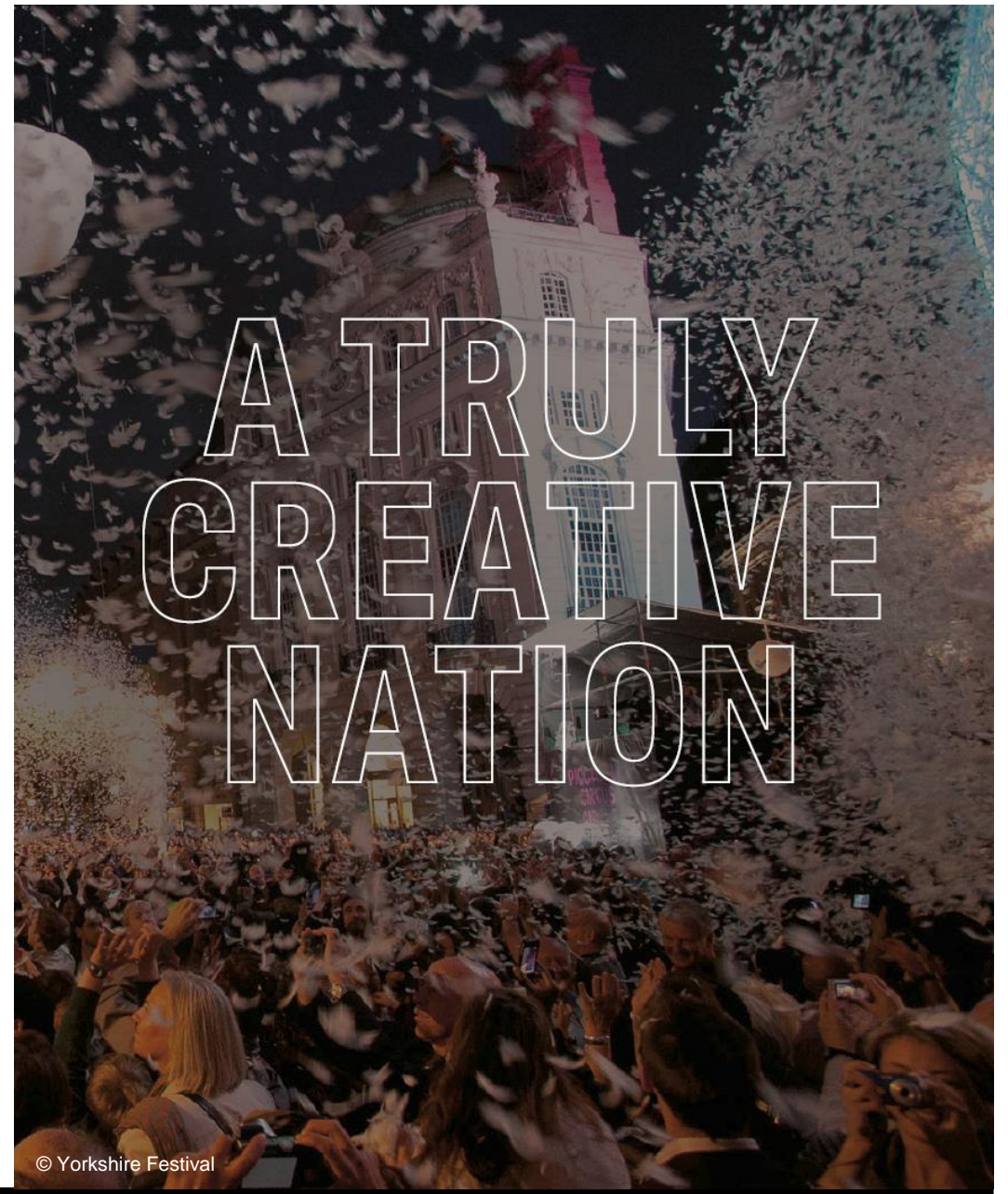
Balancing

After assessing applications against these criteria:

- Commitment to access and excellence
- Strength of contribution to Outcomes
- Likely progress against the Investment Principles
- Risk assessing including governance and management arrangements, and financial viability

We then use our balancing criteria to select a group of fundable organisations that could make up a portfolio that is:

- More geographically representative
- Has a leadership more representative of England in relation to disability, race, sex and includes more people from lower socio-economic backgrounds
- Represents a wide range of creative and cultural provision



© Yorkshire Festival

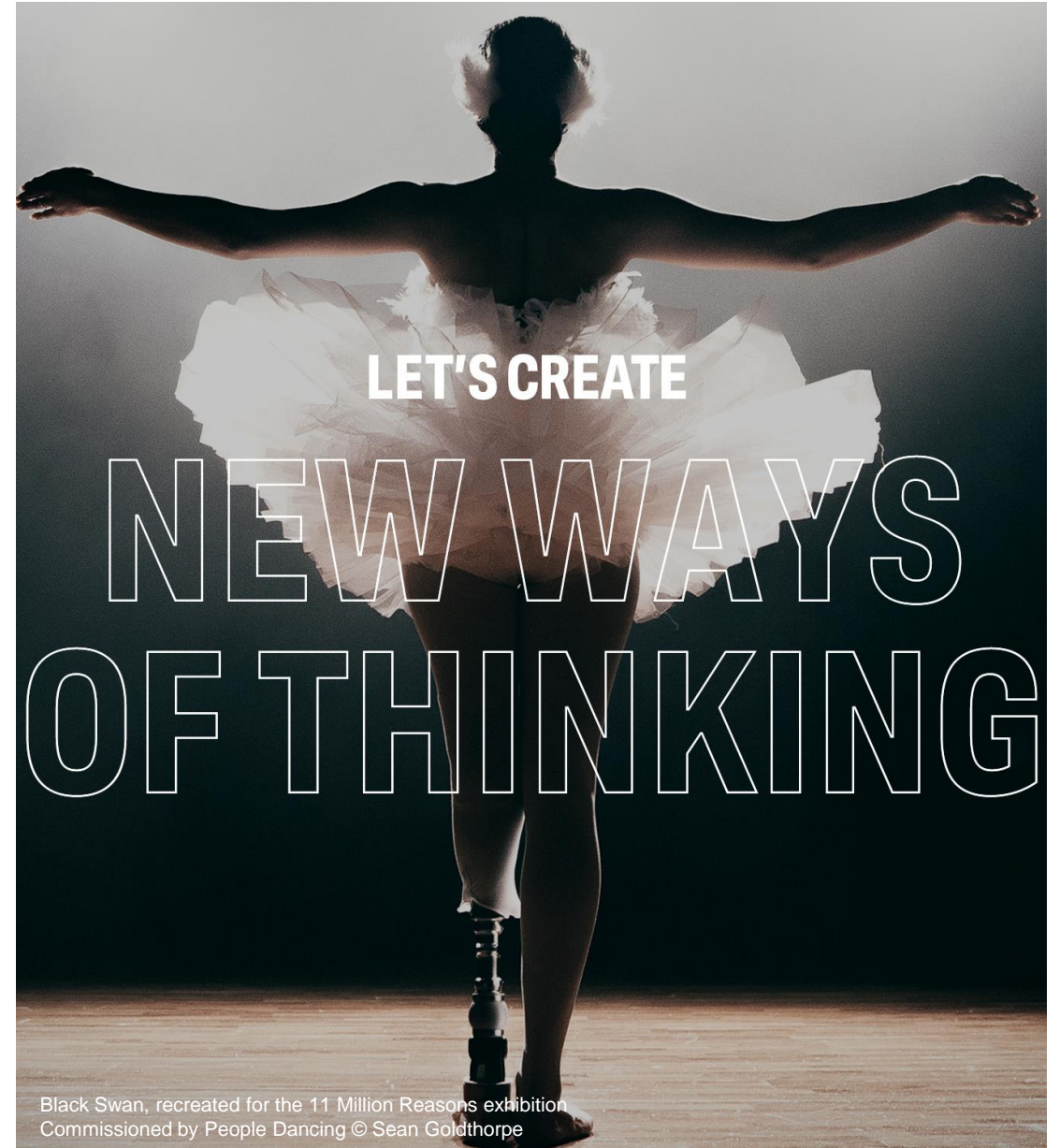
Balancing cont.

Geography - we'll take into account:

- the Levelling Up for Culture Places
- our 5 London Priority Places for London based applicants
- places of historically low Arts Council investment
- places of historically low cultural engagement and the spread across the country between rural, towns and cities

Diversity –we'll look at:

- Whether your organisation is diverse-led
- How representative of England your board and senior management are
- How representative of England the individuals that lead your organisation are (CEO, ADs, Chairperson...)



Balancing cont.

Range of creative and cultural provision - we'll take into account:

- the range of artforms and disciplines, including the balance of arts organisations and museums and libraries
- the types of organisations including building based, touring, festivals etc
- the spread of activity across our Outcomes and their Elements
- the spread of support offered by IPSOs

Relationship Framework

The Relationship Framework sets out how we'll work with you. We recommend you and your board or oversight group read it before applying, so you know what to expect working with us.

Monitoring and reporting will be key. We expect:

- Boards/oversight groups to take responsibility for delivery of funding agreement with us
- Regular (at least quarterly) and accurate reports on targets and success measures
- Appropriate steps to improve performance

Accurate, timely and relevant data will be required too.

We know most organisations are well-established and well-run. We will minimise our ongoing contact with most organisations where we can.



Emergency Exit Arts - Thamesmead 50th Birthday © Emergency Exit Arts

Relationship Framework cont.

Risk will be monitored throughout the funding period.

Categories outlined in the Relationship Framework are:

- Activity
- Investment Principles
- Governance and management
- Financial viability
- Reputational risk

If risk increases, we will increase our monitoring. The Relationship Framework also covers what sort of interventions we may make if necessary: from attending board meetings to asking for further reporting – and ultimately, withdrawal of funding.

**10 minute break
followed by Q&A session**

Before you go...

When's the application deadline? 12pm (midday) Wednesday 18 May

What steps should I take first? Tell us if you have any access requirements, do some background reading, get set up on Grantium (or update your profile)

Do I need an Introductory conversation? If you've not booked one yet, you can do. These are mandatory for new applicants (book online), and optional for current NPOs (book via RM)

Can I start my application? Yes. Read the essential documentation (Guidance for Applicants and the Addendum) and make use of the supporting materials as and when you need.

What if I have a question? Take a look at our FAQs – and if you can't find the answer there, do get in touch.

Everything you need can be found here: www.artscouncil.org.uk/Investment23

THANKS FOR JOINING US TODAY